

# Online Gambling Role Cessation Form

## Notes

This form should be completed by a Director of a Licence Holder when an individual holding any of the roles listed below is to cease their role with the Licence Holder.

- Shareholder with more than 5% holding
- Designated Official
- Operations Manager
- Company Director
- Investor investing more than £250,000 or more than 25% of the total investment
- Senior Manager, accountable for the overall corporate strategy
- Senior Manager with responsibility for information technology; security of registration and games or betting infrastructure
- Senior Manager with responsibility for regulatory compliance
- Senior Manager with responsibility for financial control
- Senior Manager with responsibility for commercial development
- Money Laundering Reporting Officer (including deputy)
- AML/CFT Compliance Officer
- Nominated AML/CFT Compliance Officer
- Other Senior Management role
- A shareholder of the Ultimate Parent Company of the Licence Holder with a 5% or less holding
- A Director of the Ultimate Parent Company of the Licence Holder
- Investor investing up to or including £250,000 into the Licence Holder, either directly or indirectly
- Shareholder with no more than 20% holding of the licence holder via a PLC
- A stakeholder in the Licence Holder who makes a critical non-financial contribution
- Beneficiary, Settlor, Trustee or Enforcer of a Trust

Answer all questions in full and where specific information is requested, provide in full. Completed forms with all necessary supporting documents should be sent to the Lead inspector responsible for the operator using the address below. If you have any questions regarding the completion of this form, please call or email us using the details below.



<b>Name of Licence Holder</b>	
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<b>Name of Role Holder</b>	
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<b>Position(s)/Role(s) Ceasing</b>	
Shareholder with more than 5% holding	
Designated Official	
Operations Manager	
Company Director	
Investor investing more than £250,000 or more than 25% of the total investment	
Senior Manager, accountable for the overall corporate strategy	
Senior Manager with responsibility for information technology; security of registration and games or betting infrastructure	
Senior Manager with responsibility for regulatory compliance	
Senior Manager with responsibility for financial control	
Senior Manager with responsibility for commercial development	
Money Laundering Reporting Officer (including deputy)	
AML/CFT Compliance Officer	
Nominated AML/CFT Compliance Officer	
Other Senior Management role	
A shareholder of the Ultimate Parent Company of the Licence Holder with a 5% or less holding	
A Director of the Ultimate Parent Company of the Licence Holder	
Investor investing up to or including £250,000 into the Licence Holder, either directly or indirectly	
Shareholder with no more than 20% holding of the Licence Holder via a PLC	
A stakeholder in the Licence Holder who makes a critical non-financial contribution	
Trustee	
Beneficiary of a Trust	
Settlor of a Trust	
Enforcer of a Trust	

## Details of the Cessation of Appointment(s) to Controlled Function(s)

Please provide the date of cessation (or intended cessation) for each controlled function as well as the reason for cessation.

Date of cessation (or intended date of cessation, if submitted prior to the date)	
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### Reason

Continuation of employment – internal reorganisation of roles/role change	
Resignation – provide date that notice of resignation was given	
Redundancy – provide date that redundancy notice was given	
Retirement – provide date that notice of retirement was given	
End of fixed term contract	
Parental Leave	
Suspension – provide date that notice of suspension was made	
Not proceeded with – provide the reason	
Other (please provide explanation) If there is not enough room on this page for your entries, please use the continuation sheet, if necessary.	

Please disclose any other matters that you believe may be material to this cessation, or further explanations that you may wish to give regarding the reason for cessation:

## Replacement

Does the licence holder intend to replace the individual?

Yes – Please provide details regarding the application process for the replacement.

No – Please provide the reason why

## Declaration by a Director of the licence holder

In submitting this declaration, I confirm that the licence holder, in connection with the Role(s) set out in this form:

- Will ensure that this cessation does not expose the regulated entity to enhanced risk;
- Will ensure, for mandatory roles, that those are appropriately covered by other personnel who have received the necessary acceptance (as required);
- Has informed the Gambling Supervision Commission of the relevant details if cessation is connected with an individual's breach.

**Signature**

**Position**

**Print Name**

**Date**

