

# Online Gambling Simplified Personal Declaration Form

## Notes to Applicants

The Gambling Supervision Commission (GSC) is the sole regulator of all online gambling activities on the Isle of Man. One of its regulatory objectives is to prevent gambling from being a source of crime or disorder, associated with crime or disorder, or used to support crime.

The purpose of obtaining the information in this application forms is to allow the GSC to both fulfil this regulatory objective and consider if the named individual meets the statutory requirements set out in the Online Gambling Regulation Act 2001 (as amended).

In order to make that decision, the GSC as the regulator for gambling activity on the Isle of Man is implicitly authorised to carry out such enquiries as may reasonably be expected to satisfy itself that these statutory requirements are met. These enquiries may inform the GSC of pending prosecutions, investigations, barring or disqualification by a professional body or industry regulators. If you would like to discuss this please contact the GSC.

The GSC has produced guidance information to assist individuals and these are available on our website [www.isleofmangsc.com/gambling](http://www.isleofmangsc.com/gambling)

Answer all questions in full and where specific information is requested, provide in full. Failure to do so may result in your application being delayed, and your application being returned.

Completed application forms with all necessary supporting documents should be delivered to us at the address below. We are also happy to receive in addition to the posted application, a digital copy by email.

If you have any questions regarding the completion of this form, please call or email us using the details below.



<b>Name of the Applicant Company</b>	
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<b>Part 1: Personal Information</b>
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Family Name (as shown on your national ID document)	
Given Forename(s)	
Other names you have been or are known by	
Current residential address	
Length of time at this address	
If you have lived at your current address for less than 5 years, please provide the previous addresses below indicating dates of residence there.	
Date of Birth	
Place and country of birth	
Current Nationality	
Previous or dual nationality held	
Contact email address	
Contact telephone number	

Previous Address 1	Date from	Date to
Previous Address 2	Date from	Date to

<p><b>Verification of Identity and Residence</b></p> <p>We are required to verify your identity and your place of residency. Please provide a certified copy of the photograph page of your current valid passport or national ID card and two recent utility bills no more than 3 months old (a bank statement is also acceptable as one of the documents)</p>
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## Part 2: Details of the role (non-approved)

Indicate each position that you hold or will hold within the Applicant Company

Senior Manager, accountable for the overall corporate strategy	
Senior Manager with responsibility for information technology; security of registration and games or betting infrastructure	
Senior Manager with responsibility for regulatory compliance	
Senior Manager with responsibility for financial control	
Senior Manager with responsibility for commercial development	
Money Laundering Reporting Officer (including deputy)	
AML/CFT Compliance Officer	
Nominated AML/CFT Compliance Officer	
Other Senior Management role	
A shareholder of the Ultimate Parent Company of the Applicant Company with a 5% or less holding.	
A Director of the Ultimate Parent Company of the Applicant Company	
Investor investing up to or including £250,000 into the applicant company, either directly or indirectly	
Shareholder with no more than 20% holding of the licence holder/licence applicant via a PLC	
A stakeholder in the Applicant Company who makes a critical non-financial contribution	

## Part 3: Integrity Assessment - Criminal Convictions

In relation to any global jurisdiction, have you:

ever been investigated, prosecuted or convicted of an offence by a law enforcement agency?	
ever been issued a formal caution from a law enforcement agency?	
ever been dismissed from any office or employment or barred from entry to any profession or occupation?	
ever been suspended from any office, or asked to resign?	
had any business involvement or association with a business that formed part of or was in any way involved in a police investigation or an investigation conducted by any other enforcement body?	
any reason to believe you may be subject to a pending prosecution?	

### IMPORTANT NOTICE

Paragraph 3 of Part 3 of Schedule 1 of the Rehabilitation of Offenders Act 2001 (Exceptions) Order 2018 exempts any occupation in respect of which an application to the GSC a licence, certificate or registration is required. You must therefore disclose spent convictions.

## Part 4: Competency Assessment

As part of our assessment into your competency to carry out the role, please answer the following questions. You will also be required to provide your personal curriculum vitae.

Have you ever been party to an application to the Isle of Man Government for any type of Gaming, Betting or Gambling Licence ?	
If you answer yes, please tell us with which company.	
Have you ever been party to an application in any other jurisdiction for any type of Gaming, Betting or Gambling Licence?	
If you answer yes, in which jurisdiction/s?	

## Part 5: Integrity Assessment - Financial Circumstances

In relation to any global jurisdiction, have you ever personally:

been declared bankrupt or filed a petition for any type of bankruptcy, insolvency or liquidation under any bankruptcy, insolvency law?	
entered into an agreement with creditors	
been subject to a penalty or enforcement action by any Government Agency?	
been subject of an Individual Voluntary Agreement (IVA)	
been subject of any civil legal action (including County Court judgements)	
been subject to penalty or enforcement action by any Government Agency	
been subject to any kind of regulatory administration or monitoring?	
been unable to satisfy any debt adjudged due and payable, as a judgement-debtor, under an order of the court, in the Isle of Man or any other jurisdiction?	
as an individual, member of a partnership, or owner, director or officer of a corporation been associated with a business entity when it went into liquidation, receivership or was placed under some form of governmental administration or monitoring and subject to a winding up order?	
been involved, or are you currently involved, as a party (i.e. not as counsel or as a witness), in any litigation or are you aware of any pending involvement in litigation if not in usual course of business?	
been unable to satisfy any debt adjudged due and payable, as a judgement-debtor, under an order of the court, in the Isle of Man or any other jurisdiction?	
Have you personally ever been in default of or in arrears with any of the following:	
Personal mortgage facility?	
Personal loan agreement	
Personal credit or store cards	
Personal bank overdraft facility	
Any other type of personal financial liability?	

## Part 6: Checklist

Have you:

provided a certified copy of your identification document

provided a certified copy of your proof of address dated within the previous 3 months?

provided your personal Curriculum Vitae that is accurate and up to date?

provided certified copies of your relevant professional qualifications?

## Part 7: Applicant Company's Declaration

I confirm that the Applicant Company has carried out appropriate due diligence investigations into the named individual and are content that the applicant named in this form is a person of integrity to carry out the role they propose to hold within the Company applying for a gambling licence with the GSC.

**Applicant's Full Name**

**Director's Full Name**

**Director's Signature**

**Date of signature**

## Part 8: Declaration and Signature

I certify that this declaration is complete and correct to the best of my knowledge and understand that any material falsification or omission of information is a criminal offence.

I will inform the GSC about any other significant information and changes that are relevant and become apparent after submitting the application.

I confirm that I have read and understood the following information:

- The guidance notes for making the application
- The Online Gambling Regulations Act 2001
- The Integrity Guidance for OGRA applications

<b>Signed</b>	
<b>Name</b>	
<b>Date</b>	

### IMPORTANT NOTE

Should it be found that any information provided as part of the application process is, without reasonable excuse, misrepresented or falsified, deliberately omitted or otherwise not properly provided, this may provide reason for an application to be rejected or fail altogether, and any subsequent approval that may have been granted may be suspended or revoked.

## Data Protection

The GSC requires data regarding applicants for the purposes set out in the Online Gambling Regulations Act 2001 and the Gambling Supervision Act 2001. As a competent authority listed in Schedule 1 of the GDPR and LED Implementing Regulations 2018, the Commission will primarily collect and process data and special category data necessary for the exercise of its public functions.

The GSC has produced a Privacy Policy for users and a guidance document in relation to the checks we make as part of our fitness and propriety assessment.

These are available on our website at the links below and you should read them carefully.

Privacy Notice - [www.isleofmangsc.com/gambling/gambling-privacy-notice/](http://www.isleofmangsc.com/gambling/gambling-privacy-notice/)

Guidance Document - [www.isleofmangsc.com/gambling/online-gambling-licence/](http://www.isleofmangsc.com/gambling/online-gambling-licence/)

